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INTER-AMERICAN DRUG ABUSE
CONTROL COMMISSION

CICAD

Secretariat for Multidimensional Security

**XLI MEETING OF THE GROUP OF THE GROUP OF EXPERTS FOR THE CONTROL OF MONEY
LAUNDERING
OCTOBER 1-2, 2015
LIMA, PERU**

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**ACTIVITIES REPORT FROM THE EXECUTIVE SECRETARIAT (ES/CICAD)
ANTI-MONEY LAUNDERING 2015-2016**

ACTIVITIES REPORT FROM THE EXECUTIVE SECRETARIAT (ES/CICAD)
ANTI-MONEY LAUNDERING

The Executive Secretariat (ES) reports that the activities of the Anti-Money Laundering Section of CICAD/OAS, during the period 2014-2015, were determined by the GELAVEX at the XXXIX Meeting of the Group of Experts for Money Laundering Control held in Montevideo, Uruguay, in September 2014; as well as by the mandates of the Commission at its fifty-sixth regular session, held in November of the same year.

Thus, the Executive Secretariat of CICAD has carried out numerous technical assistance and training activities during the reporting period, such as:

Under the Seized and Forfeited Asset Management Project in Latin America (BIDAL) the following activities were accomplished: a) In the last quarter of 2014, after the presentation of the project to the authorities of Brazil, in August, we proceeded with the development and updating of the situational assessment document, which is intended to serve as a guide to know precisely and in detail the system of seizure, seized asset management, and final destination of forfeited assets and money. Through situational analysis, aspects of the regulatory framework were addressed; technical and interagency coordination between each of the subjects of the process; b) From May 13 to 15, 2015, the result of the Situational Diagnosis was presented to the Brazilian authorities, and simultaneously the National Seminar about Seized and Forfeited Assets Management was executed in the city of Brasilia c) From June 24 to 25, 2015 the first meeting of the Interagency Working Group (IWG) was held, in which about 20 high-level senior officials from related institutions of ENCCLA (National Strategy for Combating Corruption and Money Laundering) participated, such as the Attorney General, Attorneys, the Court of Auditors, Police officers, Judges, and the Ministry of Finance, among others. The above was in coordination with the Department of Assets Recovery and International Cooperation of the Ministry of Justice, aiming to initiate discussions to develop the proposal to improve the system of forfeiture in Brazil; d) The second meeting of the Interagency Working Group (IWG) took place on September 3 and 4 and included the participation of twenty-two officials of such institutions; e) After the signing of the Memorandum of Understanding with the General Secretariat of the OAS and the authorities of Paraguay to implement the BIDAL project on March 25 and 26 of 2015, with the participation of representatives of key institutions that will be part of BIDAL Project in Paraguay, each of its components, a developing work plan and the results of the project during its implementation in other beneficiary countries were presented in detail ; f) On April 29 and 30 , 2015, ES/CICAD provided to the Senate of Paraguay, technical assistance on the "Termination of ownership Bill;" g) Since July 1, 2015 the gathering of information has started on the current system of forfeiture in Paraguay for the development of a Situational Diagnosis, that was presented to the national authorities on September 17 and 18, dates on which, the Interagency Working Group (IWG) of Paraguay was also formed.

As for technical assistance to strengthen the capacities of law enforcement agencies, from November 10 to 14, 2014 the Regional Workshop, "Simulated Investigation," was executed in Asuncion, Paraguay, in conjunction with UNODC-Brazil, concerning a case of Money Laundering and Organized Crime. About 35 officials participated, including prosecutors, investigators and analysts of FIUs, from Brazil, Uruguay, and Paraguay.

Within the Training Program on Money Laundering for Judicial Officials, that the ES/CICAD is currently running in Port of Spain, Trinidad and Tobago, the Regional Course for Judges and Prosecutors on

Combating Money Laundering was performed from the December 2 to 4, 2014. Around 39 judicial officials from Antigua and Barbuda, Barbados, Belize, Dominica, Granada, Guyana, Haiti, St. Lucia, St. Vincent and the Grenadines, and Trinidad and Tobago participated. This training session was organized by ES/CICAD, in collaboration with the Executive Secretariat of the CFATF.

The ES/CICAD, within the implementation of the Technical Assistance Plan to Combat Money Laundering in Peru, which is being developed with the active participation and collaboration of the Committee for the Implementation and Monitoring of the National Strategy for Combating Money Laundering in Peru (CONTRALAFT), has been executing the following activities: a) From April 20 to 24, 2015 the "National Workshop for the Implementation of the Manual for the Development of a Research Plan (Money Laundering cases and Related Crimes) and Protocols for Joint Work between the Public Ministry and the Police" was implemented in the cities of Cusco and Lima, Around 154 officials participated, including prosecutors and investigators from the National Police of Peru; b) From June 15 to 19, 2015 the same "National Workshop for the Implementation of the Manual for the Development of a Research Plan (Money Laundering cases and Related Crimes) and Protocols for Joint Work between the Public Ministry and the Police" was implemented in the cities of Piura and Trujillo, in which 150 officials, including prosecutors and investigators from the National Police of Peru participated.

Regarding the framework of the Capacity Building of Financial Intelligence Units Program, ES/CICAD completed the following technical assistance activities: a) in San Salvador, El Salvador, from September 8 to 10, 2015 ES/CICAD conducted a technical assistance mission for the Salvadoran FIU to develop the design of the Process Certification Compliance Officer, which was formally handed over to the FIU during November 2014; b) In Lima, Peru a "Regional Workshop on the Strategic Analysis of AML/CFT to FIUs" was held from February 9 to 13, 2015 in which about 32 officials from the Financial Intelligence Units of Member countries of GAFILAT (Argentina, Bolivia, Brazil, Chile, Colombia, Cuba, Ecuador, Mexico, Panama, Paraguay, Peru, and Uruguay) participated. This activity was funded by FinCEN in the United States and coordinated along with the ES/CICAD.

Together with the Inter-American Committee against Terrorism (CICTE), ES/CICAD carried out, from August 10 to 12, 2015, in Miami, United States, a "Regional Workshop on illicit flows, criminal networks and terrorism," as well as the "AML/CFT Regional Workshop: Minimizing the risks of free trade zones," held in Panama from August 17 to 21..

In addition, ES/CICAD participated in various international cooperation activities within the 2014-2015 period, namely:

In Montevideo, Uruguay, ES/CICAD participated and supported the Technical Assistance Mission of the International Monetary Fund (IMF) to enhance the capacities of the Financial Intelligence Unit of Uruguay in the areas of Money Laundering and Financing of Terrorism; which was carried out from October 6 to 10, 2014. A second follow-up mission of the technical assistance mission of the IMF accompanied the ES/CICAD between March 9 and 13, 2015.

The Regional Conference, "Information Exchange to Combat Money Laundering: Equity Research and Asset Recovery Offices" was held from the 25 to the 29 of May in Cartagena de Indias, Colombia. It was organized by the Intelligence Centre Against Terrorism and Organized Crime (CITCO) of the Ministry of the Interior of Spain and with the technical assistance of CICAD-OAS, with the opportunity to exchange experience and best practices regarding international cooperation in asset recovery, exposing the work of GELAVEX and BIDAL, to generate synergies between the 44 participating experts, including police

units against money laundering, specialized prosecutors and financial intelligence units from Argentina, Bolivia, Brazil, Chile, Colombia, Costa Rica, Cuba, Ecuador, El Salvador, Spain, Guatemala, Honduras, Mexico, Nicaragua, Panama, Paraguay, Peru, Uruguay and Venezuela. The Executive Secretariat of GAFILAT also participated.

In Vienna, Austria, ES/CICAD actively took part, giving presentations and moderating work meetings, in three events carried out by UNODC in 2015: a) the Sixth meeting of the Working group for the Prevention of Corruption, which took place from August 31 to September 2; b) The Ninth meeting of the Working Group on Asset Recovery, held on September 3 and 4; as well as c) the Expert Meeting on the Effective Administration and Disposal of Frozen, Seized and Forfeited Assets, held September 7 to 9, which was organized in Reggio Calabria, Italy, in April 2014.

From November 5 to 10, 2014 the X Meeting of the Network of GAFISUD Asset Recovery (GAFILAT) was held in Brasilia, Brazil. ES/CICAD participated as an exhibitor and observer of the meetings of this network for the exchange of experiences in the asset recovery of criminal proceeds. Similarly, ES/CICAD participated in the XI Meeting of the Network of GAFISUD Asset Recovery (RRAG) held in Asuncion, Paraguay from May 4 to 8, 2015.

From November 23 to 28, 2014, the XL Plenary Meeting and V Ministerial Group of the Caribbean Financial Action Task Force was held in Port of Spain, Trinidad and Tobago, . ES/CICAD actively participated and presented their respective report of activities with the aim of generating synergies with this organization, donors and other entities working on AML/CFT.

The ES/CICAD also actively took part in the XLI Plenary Meeting of the GAFIC, held in Port of Spain, Trinidad and Tobago, from May 25 to 28, 2015. The meeting outlined the activities carried out by ES/CICAD since the last plenary of the Group, such as the proposal for the establishment of a network of asset recovery in the Caribbean, which emerged within the framework of the XL Meeting of the Group of Experts for the Control of Money Laundering and the sub-Working groups.

The XXX GAFISUD Representatives plenary session was held from December 8 to 14, 2014 in Antigua, Guatemala, during which ES/CICAD actively participated in the working sessions and presented the respective report on activities with the objective of generating synergies with this organization, donors, and other entities working on AML/CFT.

From July 6 to 10, 2015 the XXXI Meeting of the Financial Action Task on Latin America (GAFILAT, before GAFSUD) was conducted and the CICAD-OAS participated in the meetings of the Working Group on Operational Support, Mutual Evaluations and Training and Development, which took place on July 7 and 8, respectively. In addition, ES/CICAD attended the "Joint Meeting of Experts of the Financial Action Task Force (GAFILAT) on typologies of money laundering and terrorism financing," on September 7, 8 and 9, 2015 in Mexico DF, and participated in several working sessions.

From May 19 to 20, 2015 the XL Meeting of the Group of Experts to Control Money Laundering and Sub-Working Groups, in Washington DC, USA, aimed to monitor the Work Plan approved by the plenary in September 2014, in Montevideo, Uruguay, and by CICAD in December of that year at its 56th Ordinary Session held in Guatemala. This achieved important products such as: a) an analysis of collection systems data on seized and forfeited assets of illicit origin in member states of the OAS, held under the BIDAS Project; b) the proposed Technical Assistance Program on International Cooperation in Asset Recovery; c) the Supplementary Survey Procedures and/or criteria for International Cooperation for the

Sharing of goods; d) Comparative Report between Work Plans, Developed Products and Contacts of Strategic Partners on AML/CFT; and e) Guide to Managing Seized Enterprises.

Within the framework of the MECOOR initiative –made by the Secretariats of the Inter-American Drug Abuse Control Commission and the Inter-American Committee against Terrorism (OAS/ SMS/CICAD and CICTE), the Executive Directorate of the UN Counter-Terrorism Committee (CTED), the UN Office on Drugs and Crime (UNODC / TPB) and the Executive Secretariat of GAFISUD— a specialized regional workshop was held against Terrorism and its Financing in Asuncion, Paraguay, on April 14 to 17, 2015. It included the participation of 39 officials from law enforcement, including prosecutors, investigators and FIU analysts from Argentina, Brazil, Paraguay and Uruguay.

Finally, also in the context of MECOOR, the ES/CICAD has begun implementing the RRAG Strengthening Program, with the Broadcasting Network component reporting that from the April 29 to May 1, 2015 the 57th Regular Session of CICAD was conducted. In which a presentation named “Asset Recovery Networks: the importance of the timely exchanged of information for the seizure of assets of criminal origin,” was made by Dr. Mauricio Fernandez Montalban, Chile’s contact point representing the RRAG. Similarly, at the XL Meeting of the Group of Experts for the Control of Money Laundering and the sub-working groups, held in Washington DC on May 19 and 20, 2015 a presentation on "The GAFILAT Asset Recovery Network (RRAG)" was made by Officer Luis Lopez, Co-coordinator of GAFILAT and police contact point in Paraguay Also. In that opportunity, he informed on OAS forums in which the Network will have the opportunity to present their work, and announced the workshop for Equity Research, which will be held from October 26 to 29 in Santiago, Chile, with the support of ES/GAFILAT and the Project GAFILAT/EU.