



17th St. & Constitution Avenue N.W.
Washington, D.C. 20006
United States of America

Organization of American States

P. 202.458.3000
www.oas.org

**INTER-AMERICAN DRUG ABUSE
CONTROL COMMISSION**

CICAD

Secretariat for Multidimensional Security

XL GROUP OF EXPERTS FOR THE CONTROL OF MONEY LAUNDERING
October 1-2, 2015
Lima, Peru

OEA/Ser.L/XIV.4.41
CICAD/LAVEX/doc.23/15
October 5, 2015
Original: Spanish

ACTIVITY REPORT FOR THE SUB-WORKING GROUP ON
FINANCIAL INTELLIGENCE UNITS (FIU) AND CRIMINAL INVESTIGATION AGENCIES 2014-2015

REPORT 2014-2015

The Sub-Working Group on FIUs and Criminal Investigation Agencies reports the accomplishment of the work proposed in the Work Plan, as follows:

- a. Inputs for the asset investigation guide were received, adjustments made according to observations submitted by various delegations and the final version was presented, for which no new comments were received.
- b. Inputs for considerations and recommendations on security matters were received, proper adjustments were made and the final version that will be presented to CICAD was presented, for which no new comments were made.

According to the methodology adopted by the Group, two new topics will be considered. In addition, on the topic of asset investigation, a complementary study will be completed regarding the use of open sources of information, led by the Delegation of Peru along with the Delegation of Bolivia and coordinated by the Sub-Working Group.

Regarding the lines of action proposed in the Strategic Plan, the following ones need to be developed:

- a. Risk analysis
- b. Expert Reports
- c. Special investigative techniques

The Chair of the Sub-Working Group proposes the following topics for the Work Plan 2015-2016:

- a. Study on Special Investigative Techniques, specifically on their use within the financial economic system. To accomplish this goal, a questionnaire (with ten or twelve questions) would be created to allow for the development of an assessment that determines the topics that the study should be focused on. The questionnaire would be sent out in November 2015 and would be answered by March 15, 2016. The creation of a working group, composed of at least four delegations which would receive assigned tasks regarding the study is also recommended. The delegations that have expressed interest in

participating in this are: Uruguay, Bolivia, Ecuador and the Dominican Republic.

- b. Study on risk analysis associated with ML/TF that would allow for the standardization of concepts regarding risk according to the FTAF recommendations. To that end, experts would analyze cases that would allow them to identify transversal criteria. To accomplish this, the creation of a working group of at least four delegations to work in coordination with the Chair of the Sub-Working Group and the Executive Secretariat is proposed. They would present an assessment at the next meeting, as well as a work proposal regarding the topics that present most significant weaknesses. The delegations that expressed interest in this are: Peru, Uruguay, Bolivia, the Dominican Republic, ES/GAFILAT and Argentina.